

Iteratively Decodable Codes on m Flats for WDM High-Speed Long-Haul Transmission

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Abstract—In an earlier paper, we reported that the low-density parity-check (LDPC) codes from finite planes outperform any other known forward error-correction (FEC) scheme for optical communications. However, the number of different LDPC codes of code rate above 0.8 is rather small. As a natural extension of the prior work, in this paper, we consider LDPC codes on m flats derived from projective and affine geometries, which outperform codes from finite planes. The codes on m flats also provide a greater selection of structured LDPC codes of rate 0.8 or higher. The performance of the codes in a long-haul optical-communication system was assessed using an advanced simulator able to capture all important transmission impairments. Specifically, they achieve a coding gain of 10 dB at a bit error rate (BER) of 10^{-9} , outperforming, therefore, the best turbo product codes proposed for optical communications. In addition, the simulator implements a fixed-point (FP) iterative decoder that allows control of the precision of the soft information used in the decoder. Such quantization is required to facilitate hardware implementations of the iterative decoder, and the high-speed operations for long-haul optical transmission systems. The loss in performance due to reduced precision of the soft information can be as low as 0.2 dB.

Index Terms—Finite geometries, forward error correction (FEC), low-density parity-check (LDPC) codes, m flats, optical communications.

I. INTRODUCTION

IN RECENT years, low-density parity-check (LDPC) codes have generated great interests in the coding community [6]–[10], and this has resulted in a great deal of understanding of the different aspects of the code and the decoding process. An iterative LDPC decoder based on the sum-product algorithm (SPA) has been shown to achieve a performance of as close as 0.0045 dB to the Shannon limit [6]. The inherent low complexity [11]–[14] of this decoder opens up avenues for its use in different high-speed applications, such as optical communications.

In a series of recent articles [1]–[5], we showed that error performance and decoder hardware complexity offered by turbo codes [15]–[17] can be greatly improved by using iteratively decodable LDPC codes. Although several methods have been proposed to construct “good” LDPC codes, the complexity of LDPC encoders and decoders can be considerably reduced by allowing cyclic or quasi-cyclic structures in the parity-check matrices of the codes. These symmetries are especially critical

to enable high-speed forward error-correction (FEC) architectures for optical communications. The cyclic or quasi-cyclic structures of these codes support simple encoders, realized using shift registers, and low-complexity iterative decoders. In [18], we presented a construction method based on the incidence of points and lines of a finite projective plane, and in [1], we extended the above construction method to include affine planes and some secondary structures in projective planes like ovals and unitals. In [3]–[5] and [19]–[21], we proposed several LDPC designs based on integer lattice, mutually orthogonal Latin squares and rectangles, and block-circulant parity-check matrices. These codes have shown encouraging performances, with a coding gain of larger than 10 dB at a bit error rate (BER) of 10^{-9} , outperforming the best turbo codes [17] proposed for optical-communication systems.

The next step of generalization of these codes can be obtained by considering incidence properties of higher dimensional flats over finite (projective and affine) geometries. In [1], LDPC codes are constructed based on the incidence of points (zero-dimensional flat) and lines (one-dimensional flat) in a plane (two-dimensional flat) of projective and affine geometries. In this paper, we construct LDPC codes based on the incidence of m -dimensional flats, where m is not necessarily 0, and $(m + 1)$ -dimensional flats in an n -dimensional flat, where $n > m + 1$, of projective and affine geometries. Apart from excellent coding, these LDPC designs offer a larger number of codes of rate above 0.8. This approach was first suggested by Tang *et al.* [22], and the BER performances of these codes over an additive white Gaussian noise (AWGN) channel presented in the paper were impressive.

In order to make a realistic assessment of the code’s performance in long-haul optical communication system, an advanced simulator was developed [1], [23], which successfully captures the effects of important transmission impairments such as fiber nonlinearities [interchannel (four-wave mixing, cross-phase modulation) and intrachannel (intrachannel four-wave mixing, intrachannel cross-phase modulation, self-phase modulation) nonlinearities, stimulated Raman scattering], chromatic-dispersion effects [group-velocity dispersion (GVD), second-order GVD], linear crosstalk effects, (optical and electrical) filtering effects [intersymbol interference (ISI)], amplified spontaneous emission (ASE) noise, and others.

The LDPC decoder iteratively uses soft information, referred to as log-likelihood ratio (LLR), in order to decode the output of the channel. This soft information used in the iterative decoder has to be quantized in order to facilitate digital hardware implementations [30]. The performance loss using a

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fixed-point (FP) representation for quantized LLRs is assessed as well in this paper. Another approach, which is the “bio-inspired network-decoding” approach [31], has received greater attention in recent years. This approach is promising from the system-level-accuracy, speed, and power-consumption standpoints [31].

In Section II, we present a brief introduction to finite geometries, and outline the algorithm to construct LDPC codes on m flats from these geometries. In Section III, we briefly describe the channel model implemented, and in Section IV, we present the BER performance of the proposed codes. In Section V, the BER performance is assessed by varying the precision setting for LLRs. The final section summarizes the major results of this paper.

II. ITERATIVELY DECODABLE CODES ON m FLATS

In this section, we present algorithms to construct two classes of LDPC codes based on the projective and affine geometries of finite-dimensional vector spaces over finite fields. A detailed discussion on finite geometries can be found in [26]–[28]. The following notation will be used in the paper:

- 1) p is a prime integer, and q is the power of p .
- 2) $\text{GF}(q)$ is a Galois field of order q .
- 3) m and l are integers less than n , where $l < m$.

The projective geometry of dimension n over $\text{GF}(q)$, represented as $\text{PG}(n, q)$, is a set of all proper subspaces of a vector space of dimension $n + 1$ over $\text{GF}(q)$. In projective-geometric terminology, an $(m + 1)$ -dimensional element of the set is referred to as an m flat. For example, points are 0 flats, lines are 1 flats, planes are 2 flats, and m -dimensional spaces are $(m - 1)$ flats. An l -dimension flat is said to be contained in an m -dimension flat if it satisfies a set-theoretic containment. Since any m flat is a finite vector subspace, it is justified to talk about a basis set composed of basis elements independent in $\text{GF}(p)$. In the following discussion, the basis element of a 0 flat is referred to as a point and, generally, this should cause no confusion because this basis element is representative of the 0 flat.

Using the above definitions and linear algebraic concepts, it is straightforward to count the number of m flats, defined by a basis with $m + 1$ points, in $\text{PG}(n, q)$. The number of such flats, say $N_{\text{PG}}(m, n, q)$, is the number of ways of choosing $m + 1$ independent points in $\text{PG}(n, q)$ divided by the number of ways of choosing $m + 1$ independent points in any m flat.

$$\begin{aligned} N_{\text{PG}}(m, n, q) &= \frac{(q^{n+1} - 1)(q^{n+1} - q)(q^{n+1} - q^2) \dots (q^{n+1} - q^m)}{(q^{m+1} - 1)(q^{m+1} - q)(q^{m+1} - q^2) \dots (q^{m+1} - q^m)} \\ &= \prod_{i=0}^m \frac{(q^{n+1-i} - 1)}{(q^{m+1-i} - 1)}. \end{aligned}$$

Hence, the number of 0 flats in $\text{PG}(n, q)$ is $N_{\text{PG}}(0, n, q) = (q^{n+1} - 1)/(q - 1)$, and the number of 1 flats is $N_{\text{PG}}(1, n, q) = [(q^{n+1} - 1)(q^n - 1)] / [(q^2 - 1)(q - 1)]$. When $n = 2$, the number of points is equal to the number of

lines, and this agrees with dimensions of point–line incidence matrices of projective plane codes, introduced in [1]. In [1], we considered point–line incidence matrices to construct cyclic LDPC codes, while in this paper, we consider the incidence of m flats and l flats in $\text{PG}(n, q)$.

An algorithm to construct an m flat in $\text{PG}(n, q)$ recognizes that the elements of $\text{GF}(q^{n+1})$ can be used to represent points of $\text{PG}(n, q)$ [24]. If α is the primitive element of $\text{GF}(q^{n+1})$, then α^v , where $v = (q^{n+1} - 1)/(q - 1)$, is a primitive element of $\text{GF}(q)$. Each one of the first v powers of α can be taken as the basis of one of the 0 flats in $\text{PG}(n, q)$. In other words, if α^i is the basis of a 0 flat in $\text{PG}(n, q)$, then every α^k , such that $i \equiv k \pmod{v}$, is contained in the subspace. In a similar fashion, a set of $m + 1$ powers of α that are independent in $\text{GF}(q)$ forms a basis of an m flat. If $\alpha^{s_1}, \alpha^{s_2}, \dots, \alpha^{s_{m+1}}$ is a set of $m + 1$ basis elements of an m flat, then any point in the flat can be written as

$$\zeta_i = \sum_{j=1}^{m+1} \varepsilon_{ij} \alpha^{s_j}$$

where the ε_{ij} are chosen such that no two vectors $\langle \varepsilon_{i1}, \varepsilon_{i2}, \dots, \varepsilon_{i(m+1)} \rangle$ are linear multiples over $\text{GF}(q)$. Now, every ζ_i can be equivalently written as a power of the primitive element α .

To construct an LDPC code, we generate all m flats and l flats in $\text{PG}(n, q)$ using the method described above. An incidence matrix of m flats and l flats in $\text{PG}(n, q)$ is a binary matrix with $N_{\text{PG}}(m, n, q)$ rows and $N_{\text{PG}}(l, n, q)$ columns. The (i, j) th element of the incidence matrix $\mathbf{A}_{\text{PG}}(m, l, n, q)$ is a one if and only if the j th l flat is contained in the i th m flat of the geometry. An LDPC code is constructed by considering the incidence matrix or its transpose as the parity-check matrix of the code. It is widely accepted that the performance of an LDPC code under an iterative decoder is deteriorated by the presence of four cycles in the Tanner graph [25] of the code. In order to avoid four cycles, we impose an additional constraint that l should be one less than m [22]. If l is one less than m , then no two distinct m flats have more than one l -dimensional subspace in common. This guarantees that the girth (length of the shortest cycle) of the graph is 6.

Example: Let us construct the incidence matrix of 2 flats and 1 flats in $\text{PG}(3, 2)$. For some primitive element α of $\text{GF}(2^3)$, each element of the set $P = \{\alpha^0, \alpha^2, \alpha^1, \dots, \alpha^{14}\}$ is a generator of a unique 0 flat in the geometry, and this agrees with the fact that there are $N_{\text{PG}}(0, 3, 2) = 15$ points in the geometry. There are $N_{\text{PG}}(0, 2, 2) = 7$ points in each 2 flat of the geometry, and all points in one of those flats can be generated as linear combinations of $\{\alpha^0, \alpha^1, \alpha^3\}$ in $\text{GF}(2)$. By working out all possible linear combinations, one arrives at $\{\alpha^0, \alpha^1, \alpha^3, \alpha^4, \alpha^7, \alpha^9, \alpha^{14}\}$ as the set of all points in the 2 flat. Using the above procedure, all m flats and l flats in the geometry can be generated. The incidence matrix of the m flats and l flats in $\text{PG}(3, 2)$ is shown at the bottom of the next page. As mentioned before, there are no four cycles in the Tanner graph of the incidence matrix. This matrix or its transpose will be used as the parity-check matrix of a projective-geometry code.

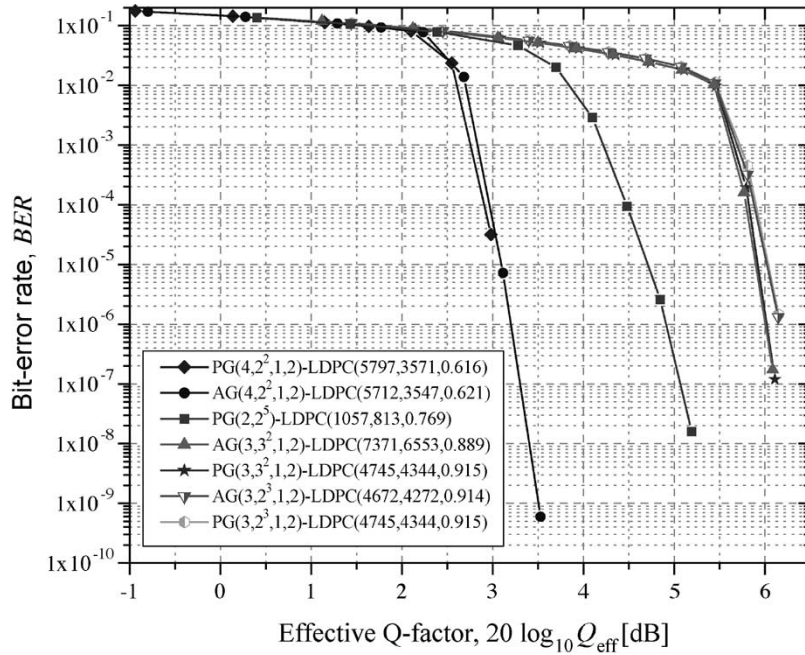


Fig. 2. BER performance of codes on m flats.

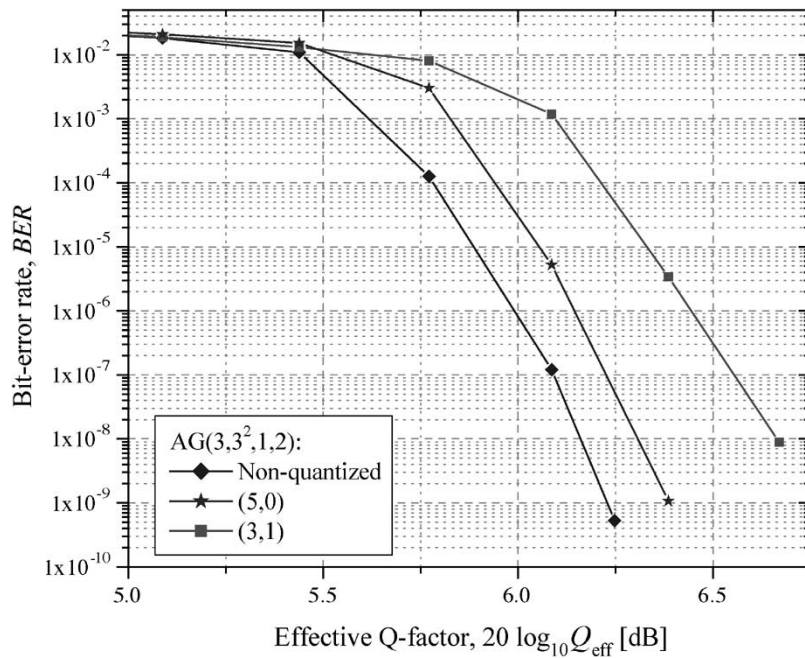


Fig. 3. Performance loss due to FP representation of LLRs.

The dispersion map, shown in Fig. 1, is composed of 25 spans of length $L = 48$ km, each span consisting of $2L/3$ km of D_+ fiber followed by $L/3$ km of D_- fiber. The Q -factor is additionally decreased by noise loading. The fiber parameters are as follows. D_+ fiber: dispersion of 20 ps/(nm · km), dispersion slope of 0.06 ps/(nm² · km), effective area equal to $110 \mu\text{m}^2$, and loss equal to 0.19 dB/km. D_- fiber: dispersion of -40 ps/(nm · km), dispersion slope of -0.12 ps/(nm² · km), effective area equal to $30 \mu\text{m}^2$, and loss equal to 0.25 dB/km.

The nonlinear Kerr coefficient is 2.6×10^{-20} m²/W. The precompensation of -320 ps/nm and corresponding post-compensation are also used. The simulations were carried out with an average channel power of 0 dBm, with a central wavelength of 1552.524 nm, and CSRZ modulation format. The influence of six neighboring channels on the observed channel is considered. The optical filter of bandwidth $1.5R_b$ (R_b —the line rate), and electrical filter of bandwidth $0.65R_b$ are observed.

The effective Q -factor is computed using the following expression:

$$Q_{\text{eff}} = \sqrt{2} \operatorname{erfc}^{-1}(2 \cdot P_{e,\text{unc}})$$

where $P_{e,\text{unc}}$ is the BER of the uncoded signal.

IV. m -FLATS-CODES PERFORMANCE

The results of simulation are given in Fig. 2. The code on 1 and 2 flats from PG(3, 3²) [denoted as PG(3, 3², 1, 2)-LDPC(4745, 4344, 0.915)] of code rate 0.915 (and redundancy of only 9.29%) performs better than the best turbo code with significantly higher redundancy ($\sim 24.3\%$) proposed for optical communications in [17]. At a BER of 10^{-7} , the code from projective geometry achieves a gain of 8.2 dB over the uncoded scheme. Similarly, codes from finite geometries with rate ~ 0.62 achieve a gain of 12 dB at a BER of 10^{-9} . In order to achieve a BER as low as 10^{-9} , we transmit (in simulation) an encoded sequence of length 2^{15} over the whole transmission system only once. Different ASE noise realizations are added to the received sequence until 2000 decoder errors are collected. The efficient realization SPA proposed in [13], employed in simulations, allows an additional 0.5-dB improvement in coding gain compared to the min-sum approximation of SPA implemented in [1] [PG(2, 2⁵) curve].

V. FP REPRESENTATION OF LLRS

The FP representation of a real-valued LLR λ is an integer λ_z with an n_b -bit precision. Of the n_b bits, d_b bits are used to represent the integer part (including the sign) of λ and p_b bits are used to represent the decimal part of λ . The range of λ_z is defined by (d_b, p_b) , where $n_b = p_b + d_b$. The FP representation of λ is obtained as follows:

$$\lambda_z = \begin{cases} \min \left(\lfloor 2^{p_b} \lambda + 0.5 \rfloor, 2^{n_b-1} - 1 \right) \\ \max \left(\lfloor 2^{p_b} \lambda + 0.5 \rfloor, -2^{n_b-1} \right) \end{cases}.$$

Hence, the range of λ_z is $[2^{n_b-1} - 1, -2^{n_b-1}]$, and we refer to λ_z as (d_b, p_b) quantized.

In the decoding stage, the intrinsic information obtained from the channel observations is (d_b, p_b) quantized and fed to the FP iterative decoder. The result of any operation performed within the decoder is (d_b, p_b) quantized. We observe, from Fig. 3, that the performance loss due to 5-bit quantization is within 0.2 dB at a BER of 10^{-9} .

VI. CONCLUSION

A novel class of error control for long-haul optical-communication systems based on iteratively decodable codes on m flats over affine and projective geometries is presented in this paper. The iterative decoding has been demonstrated to give a coding gain of 9–12 dB, depending on code rate and the minimum distance, at a BER of 10^{-9} . These codes have many unique features, such as high code rate, large

minimum distances, and simple decoding algorithms, that may allow for very-high-speed implementations. The BER performance is assessed using the advanced simulator that is able to take into account all major transmission impairments in long-haul optical transmission. The performance loss due to a fixed-point (FP) representation of log-likelihood ratios (LLRs) is found to be insignificant. The decoding complexity of the proposed forward error correction (FEC) scheme is comparable (if not smaller) to that of the turbo product code decoded using the Chase II algorithm [32], [33]. However, the decoder complexity of serial/parallel concatenated turbo codes is significantly higher [34]. The details of a low-density parity-check (LDPC) chip architecture can be found in [35] and details of a turbo-product-code architecture in [17]. On the other hand, the encoding complexity of the LDPC-based scheme is smaller than that of the turbo-code-based scheme [17].

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